

Attorney Docket No.: 16303-JJ8110  
Client Ref. No.:

PTO/SB/01A (10-00)

Approved for use through 10/31/2002. OMB 0661-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

U.S. PATENT AND TRADEMARK OFFICE  
APR 01 2002

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
- ☒ Application No. 09/839,707, filed on April 20, 2001.
- ☐ as amended on \_\_\_\_\_ (if applicable);

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I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

## FULL NAME OF INVENTOR(S)

Inventor one Angela M.I. Lam Date: Dec 3, 2001

Signature: [Signature] Citizen of: Canada

Inventor two Lorne R. Palmer Date: Nov. 26/01

Signature: [Signature] Citizen of: Canada

Inventor three Pieter R. Oullis Date: Nov 24/01

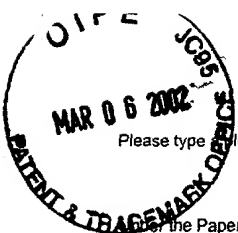
Signature: [Signature] Citizen of: Canada

Inventor four \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: \_\_\_\_\_

☐ Additional Inventors are being named on \_\_\_\_\_ additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
SF 1214895 v1



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PTO/SB/81 (02-01)

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<b>POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b>	<b>Application Number</b>	09/838,707
	<b>Filing Date</b>	April 20, 2001
	<b>First Named Inventor</b>	Lam, Angela M.I.
	<b>Title</b>	METHODS OF ENHANCING SPLP-MEDIATED TRANSFECTION USING ENDOSOMAL MEMBRANE DESTABILIZERS
	<b>Group Art Unit</b>	1645
	<b>Examiner Name</b>	
	<b>Attorney Docket Number</b>	16303-008110

I hereby appoint:

☒ Practitioners at Customer Number  
OR

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☐ Practitioner(s) named below:

Name	Registration Number

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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

<input type="checkbox"/> Firm or Individual Name	Eugenia Garrett-Wackowski				
Address					
Address					
City		State		ZIP	
Country					
Telephone		Fax			

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

<b>SIGNATURE of Applicant or Assignee of Record</b>	
Name	David P. Jones Associate Director
Signature	University-Industry Labs
Date	Feb 14/02
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.	
<input checked="" type="checkbox"/> *Total of 1 form is submitted.	

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SF 1255876 v1

## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Angela M.I. Lam, Lorne R. Palmer, and Peiter R. CullisApplication No./Patent No.: 09/839,707Filed/Issue Date: April 20, 2001Entitled: METHODS OF ENHANCING SPLP-MEDIATED TRANSFECTION USING ENDOSOMAL MEMBRANE DESTABILIZERS

The University of British Columbia

a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

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3. From: \_\_\_\_\_ To: \_\_\_\_\_

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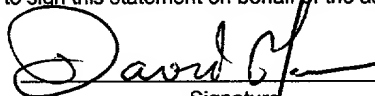
☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Feb 14 02

Date



Signature

David P. Jones

Associate Director

Typical printed name  
University Industry Liaison

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 1255881 v1

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Attorney Docket No.: 16303-008110

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Angela M.I. Lam, of 718 W. 61st Avenue, Vancouver, B.C., Canada V6P 2B5, Lorne R. Palmer, of 1950 West 8th Avenue, Apt. 209, Vancouver, B.C., Canada V6J 1W3, and Pieter R. Cullis, of 3272 W. 1st Avenue, Vancouver, B.C., Canada V6R 1H4, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: METHODS OF ENHANCING SPLP-MEDIATED  
TRANSFECTION USING ENDOSOMAL MEMBRANE  
DESTABILIZERS

Filing Date: April 20, 2001

Application No.: 09/839,707; and

WHEREAS, The University of British Columbia, located at University-Industry Liaison Office, IRC 331-2194, Health Sciences Mall, Vancouver, B.C., Canada V6T 1Z3, hereinafter referred to as "ASSIGNEE," is desirous of acquiring ASSIGNORS' interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said Assignees, and Assignees' successors and assigns, all their right, title and interest in and to the said invention and application, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignees as fully and exclusively as it would have been held and enjoyed by said Assignors had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

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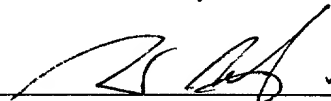
IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: Jan 17, 2002

  
Angela M.I. Lam

SIGNATURE WITNESSED BY:

(1) Dated: Jan 17, 2002



(2) Dated: Jan 20 / 2002

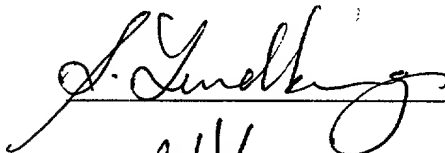


Dated: Jan. 15/02



  
Lorne R. Palmer

SIGNATURE WITNESSED BY:

(1) Dated: Jan. 15/02



(2) Dated: Jan 15/02

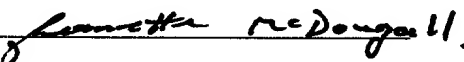
  


Dated: Jan 15, 02

Pieter R. Cullis

SIGNATURE WITNESSED BY:

(1) Dated: Jan. 15/02



(2) Dated: Jan. 15/02



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